



Section 2

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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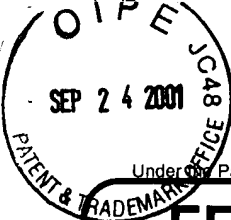
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/775,920
	Filing Date	February 2, 2001
	First Named Inventor	James J. Alwan
	Group Art Unit	2871
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	100.718.419 (MIC-77US)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Postcard
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Response to Notice to file missing parts...; Statement of Facts in Support...; Statement establishing proprietary interest...; Petition pursuant to 37 CFR 1.47(b); and Declaration	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Rajesh Vallabh, Reg. No. 35,761
Signature	<i>Rajesh Vallabh</i>
Date	September 20, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 09/20/01			
Typed or printed name	XXXXXX Sharon R. Matthews		
Signature	<i>Sharon R. Matthews</i>	Date	09/20/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ ) 2,150.00

**Complete if Known**

Application Number	09/775,920
Filing Date	February 2, 2001
First Named Inventor	James J. Alwan
Examiner Name	Unassigned
Group Art Unit	2871
Attorney Docket No.	100.718.419 (MIC-77 US)

**METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 08-0219  
Deposit Account Name: Hale and Dorr LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ ) --

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent Claims	- 3** =	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ ) --

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	1,890.00
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	130.00
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify)	Response to file Missing Parts		130.00

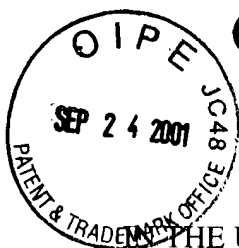
\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ ) 2,150.00

**SUBMITTED BY**

Name (Print/Type)	Rajesh Vallabh	Registration No. (Attorney/Agent)	35,761	Telephone	(617) 526-6505
Signature	<i>Rajesh Vallabh</i>	Date	9-20-01		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

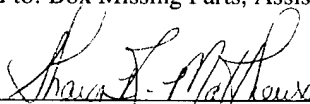
Applicant: James J. Alwan  
Serial No.: 09/775,920 Examiner: Unassigned  
Filed: February 2, 2001 Group Art Unit: 2871  
For: METHOD FOR MANUFACTURING A FLAT PANEL DISPLAY  
USING LOCALIZED WET ETCHING  
Atty. Docket No.: 100.718.419 (MIC-77)

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box-Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 20, 2001.

  
Sharon R. Matthews

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED**

In response to the Notice to File Missing Parts of Nonprovisional Application-Filing Date Granted dated March 12, 2001, enclosed for filing in the above-identified patent application are the following documents:

1. Part 2 - Return Copy of Notice to File Missing Parts of Nonprovisional Application
2. Declaration signed by Michael L. Lynch on behalf of the non-signing inventor
3. Petition Pursuant to 37 C.F.R. § 1.47(b)

4. Statement Establishing Proprietary Interest by Person Signing on Behalf of Non-signing Inventor (with Exhibits A and B)
5. Statement of Facts In Support of Filing on Behalf of the Non-signing Inventor (with Exhibits A-C)
6. Petition for Extension of Time Pursuant to 37 C.F.R. § 1.136(a).
7. Fee Transmittal Sheet authorizing the Commissioner to charge the required fee of \$2,150.00.

The Commissioner is hereby authorized to charge the amount of \$2,150.00 to Deposit Account No. 08-0219. No other fees are believed to be due in connection with this matter. However, please charge any fee deficiency or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,



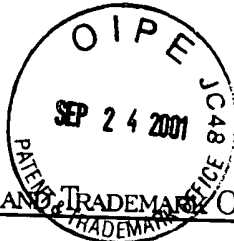
Rajesh Vallabh  
Reg. No. 35,761  
Attorney for Applicant

9-20, 2001

Hale and Dorr LLP  
60 State Street  
Boston, Massachusetts 02109  
Tel: (617) 526-6505



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/775,920	02/02/2001	James J. Alwan	100.718.419 (MIC 77)

23483  
HALE AND DORR, LLP  
60 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 8909

FORMALITIES LETTER



\*OC00000005849130\*

Date Mailed: 03/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2001 HBELETE1 00000121 080219 09775920

01 FC:105 130.00 CH